



NASA Docket No.: LEW #16,183-1

08786360

PATENT

Sent by Express Mail to Addressee on  
January 16, 1997 under Mailing Label  
No. EH365175358US.

JAN 16 1997  
PATENT & TRADEMARK OFFICE  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
*[Handwritten signatures and initials]*  
Kent N. Stone

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:

Dr. Christopher Dellacorte and Brian J. Edmonds

for: Self-Lubricating Composite Containing Chromium Oxide

the specification of which is being transmitted herewith

OR

In re application of:

Serial No.: Group No.:

Filed: Examiner:

For:

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

---

CERTIFICATION UNDER 37 CFR §1.8(a) AND 1.10

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231

37 CFR §1.8(a)

with sufficient postage as first class mail

37 CFR §1.10

as "Express Mail Post Office to Addressee"

TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office

Date: January 16, 1997

Kent N. Stone  
Signature

Kent N. Stone

(type or print name of person certifying)

**NOTE:** "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 CFR 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 CFR 1.56(c)

**NOTE:** The "duty as described in §1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 OG 13-25 at 17.

**WARNING:** "No information disclosure statement may be filed in a provisional application." 37 CFR §1.51(b).

#### **List of Sections Froming Part of This Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement

(check sections forming a part of this statement: discard unused sections and number pages consecutively)

- 1. Preliminary Statements
- 2. FORM PTO - 1449 (Modified)
- 3. Statement as to Information Not Found in Patents or Publications
- 4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
- 5. Cumulative Patents or Publications
- 6. Copies of Listed Information Items Accompanying This Statement
- 7. Concise Explanation of Non-English Language Listed Information Items
  - 7A. EPO Search Report
  - 7B. English Language Version of EPO Search Report
- 8. Translation(s) of Non-English Language Documents
- 9. Concise Explanation of English Language Listed Information Items (Optional)
- 10. Identification of Person(s) Making This Information Disclosure Statement

The checked sections have been continued on ADDED PAGE(S).

**NOTE:** "once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 OG 37-41, 37).